

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Christopher Ronnewinkel	Art Unit : 2151
Serial No. : 10/822,493	Examiner : Unknown
Filed : April 12, 2004	Conf. No. : 3022
Title : DESIGN PROCESS FOR IMPLEMENTING COHERENT CATEGORIZATION	

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request. Applicants note that the following are co-pending applications with common inventors: U.S. Applications: Ser. No. 10/330,402, titled "Intelligent Classification System," filed on 12/27/2002; Ser. No. 10/822,495, titled "User Interface for Maintaining Categorization Schemes," filed on 04/12/2004; Ser. No. 10/822,612, titled "Content Analysis for Automated Suggestions," filed on 04/12/2004; Ser. No. 10/822,363, titled "COHERENT CATEGORIZATION," filed on 04/12/2004; and Ser. No. 11/196,968, titled "Confidence Indicators for Automated Suggestions," filed on 08/04/2005.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office Action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

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Attorney's Docket No.: 13906-205001 /2004P00207 US

Respectfully submitted,

Date: 23 Jan. 2007

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13906-205001	Application No. 10/822,493
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Christopher Ronnewinkel	
		Filing Date April 12, 2004	Group Art Unit 2151

### U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	2002/0116641	08/22/2002	Mastrianni			
	AB	2004/0083191	04/29/2004	Ronnewinkel, et al.			
	AC	2002/0065836	05/30/2002	Sasaki			
	AD	2001/0047377	11/29/2001	Sincaglia, et al.			
	AE	5,765,033	06/09/1998	Miloslavsky			
	AF	5,793,861	08/11/1998	Haigh			
	AG	5,865,466	04/20/1999	Goldberg, et al.			
	AH	6,741,959	05/25/2004	Kaiser			
	AI	6,810,394	10/26/2004	Coutts, et al.			

### Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AJ	02/080036	10/10/2002	WO				
	AK	01/06435	01/25/2001	WIPO				
	AL	2001/297,261	10/26/2001	JP			X	
	AM	2000/231,520	08/22/2000	JP			X	
	AN	1,199,855	04/24/2002	Europe				
	AO	1,209,617	05/29/2002	Europe				

### Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AP	4.3.2 Case Based Reasoning, obtained at <a href="http://www.cs.mdx.ac.uk/staffpages/serengul/ML/hybrid.html">http://www.cs.mdx.ac.uk/staffpages/serengul/ML/hybrid.html</a> - Printed from the Internet on 12/9/2002, 2 pages.
	AQ	Sebastiani, "Machine Learning in Automated Text Categorization," ACM Computing Surveys, 34:1, (2002) pp. 1-47.
	AR	Yang et al., "A re-examination of text categorization methods," School of Computer Science, Carnegie Mellon University, pp. 42-49.
	AS	Lesser et al., "BIG: An Agent for Resource-Bounded Information Gathering and Decision Making," Artificial Intelligence, 118 (2000), pp. 197-244.

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AT	Larkey et al, "Combining Classifiers in Text Categorization," Proceedings of the 19th Annual International ACM Sigir Conference on Research and Development in Information Retrieval, Zurich, August 18 - 22, 1996 pp. 289-297.

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